

BALTIMORE COUNTY PUBLIC SCHOOLS

DATE: November 6, 2007

TO: **BOARD OF EDUCATION**

FROM: Dr. Joe A. Hairston, Superintendent

SUBJECT: **PROPOSED CHANGES TO BOARD OF EDUCATION POLICY 8364 –
INTERNAL BOARD OPERATIONS: ETHICS CODE-FINANCIAL
DISCLOSURE**

ORIGINATOR:

**RESOURCE
PERSON(S):**

RECOMMENDATION

That the Board of Education considers the proposed changes to Policy 8364. This is the first reading of this policy.

Attachment I – Policy Analysis
Attachment II – Proposed Policy 8364

BOARD OF EDUCATION OF BALTIMORE COUNTY
POLICY ANALYSIS

Revisions to Board of Education Ethics Code Policies

Policy 8360: Ethics Code: Definitions *Policy 8361: Ethics Code: General

Policy 8362: Gifts *Policy 8364: Financial Disclosure

Policy 8365: Lobbying Disclosure *Policy 8366: Ethics Review Panel

Statement of issues or questions to be addressed by the proposed policy

The Board of Education's Policy Review Committee continues its process of revising the Board's Internal policies. (8000 series) During the course of its review, the Committee has had the opportunity to confer with a subcommittee of the Ethics Review Panel. The revisions to the Code reflect the joint work of the Policy Review Committee and the Ethics Code Panel. The Ethics Code Panel's recommendations also reflect the Panel's experience in applying the Code to questions posed by staff.

In short, the changes are as follows:

Policy 8360: Ethics Code: Definitions include: (1) number or letter all paragraphs; (2) Paragraph D – expand “doing business” to include those entities that are seeking to do business with school system; (3) Paragraph H - exclude scholarships from definition of “gift”

Policy 8361: Ethics Code: General. Only one non-substantive change is recommended concerning policy references.

Policy 8362: Gifts. It is recommended that the policy increase gift amount to \$50; define awards and exclude such from the definition of “gift;” prohibit persons from accepting tickets to political events; prohibit accepting gifts from vendors or potential vendors.

Policy 8364: Financial Disclosure. Only one change is recommended by subcommittee; namely, to increase the gift amount to \$50 to confirm with Policy 8362. A non-substantive change was also made to reference related policies.

Policy 8365: Lobbying Disclosure. Only non-substantive change made to reference related policies.

Policy 8366: Ethics Review Panel. The subcommittee recommended that language be added to include replacement of an Ethics Review Panel member as a result of absences and that persons are no longer disqualified from serving on the Panel as a result of having a spouse who is employed by the school system. The revision would disqualify the Panel member from participating in or discussing matters involving his/her spouse.

Note that, in addition to the above, the Policy Review Committee has recommended non-substantive changes for ease of reference.

Fiscal Impact on the school system

No fiscal impact is anticipated or contemplated by these revisions.

Relationship to other Board of Education Policies

These policies form the basis of the Board's expectations for employee behavior and conduct.

Similar Policies adopted by other local school systems

CALVERT COUNTY BOARD OF EDUCATION

Policy Number 1740. Ethics.

<http://www.calvertnet.k12.md.us/admin/policies/Web%20Policies/1740%20Policy%20on%20Ethics.pdf>

CARROLL COUNTY BOARD OF EDUCATION

Policy BC, Ethics

FREDERICK COUNTY BOARD OF EDUCATION

Policy 109, Ethics

<http://www.fcps.org/dept/legal/109.pdf>

MONTGOMERY COUNTY BOARD OF EDUCATION

Policy BBB, Ethics

<http://mcps.k12.md.us/departments/policy/pdf/bbb.pdf>

PRINCE GEORGE'S COUNTY BOARD OF EDUCATION

Board Policy 0107, Ethics

Board Policy 0107.1, Ethics - Definitions

Board Policy 0108, Ethics Panel

Board Policy 0109, Conflict of Interest

Board Policy 0111, Board Financial Disclosure

Board Policy 0112, Lobbying Disclosure

HOWARD COUNTY BOARD OF EDUCATION

Ethics Regulations

<http://www.hcpss.org/board/ethicsregs.pdf>

WASHINGTON COUNTY BOARD OF EDUCATION

Policy BBFB, Ethics

Policy BBF, Code of Ethics for School Board Members

Policy BBFA, Conflict of Interest

Legal Requirements, such as federal, state or local laws or regulations

Annotated Code of Maryland, State Government Article, Sections 15-811 to 15-815 (Local Boards of Education)

A draft of the proposed policies

See attached

Timeline

First Reader, November 6, 2007

Citizen Comment, November 20, 2007

Proposed Date of Approval for Forwarding to the State Ethics Commission,
December 4, 2007

ETHICS CODE: Financial Disclosure

- A. Board members and employees listed below as officials shall file annually no later than April 30 of each calendar year during which they hold office, a statement with the Ethics Review Panel disclosing any gifts exceeding a \$[25]50 market value received during the preceding calendar year from any person under the authority of the school system or having a contract with the school system. The statement shall identify the donor of the gift and its approximate retail value at the time of receipt. A Board of Education member or required employee who has not filed a statement of financial disclosure and who is appointed to fill a vacancy to a position listed under officials required to file shall file a statement covering the calendar year in which the official is appointed within 30 days after appointment.
- B. Officials required to file:
- (i) Board of Education members
 - (ii) Superintendent
 - (iii) Deputy Superintendent
 - (iv) Superintendent's Staff members
 - (v) Office heads in the positions of Executive Director, Director, Manager, and Coordinator
 - (vi) Principals
 - (vii) Any other employees who have authority to make the final decision to commit the school system to the expenditure of public funds
 - (viii) Other employees so designated by the Board of Education as periodic review would suggest.
- C. All specified officials shall file a statement with the Ethics Review Panel disclosing any interest or employment the holding of which would require disqualification from participation pursuant to conflict of interest of the Ethics Code, sufficiently in advance of any anticipated action to allow adequate disclosure to the public.
- (i) All statements filed pursuant to this policy shall be on a form developed by the Ethics Review Panel.
 - (ii) Disclosure statements filed pursuant to this policy shall be maintained by the Ethics Review Panel as public records available for public inspection and copying.

Legal Reference: *Annotated Code of Maryland*, State Government Article,
§[10-611 et seq.] 15-813

RELATED POLICIES: SUPERINTENDENT’S RULE 2373, PUBLIC
INFORMATION REQUESTS: SCHEDULE OF FEES

Policy
Adopted: 10/18/96
Revised: 8/12/97
REVISED: _____

Board of Education of Baltimore County